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*Guadalupe O. Hanke*  
Guadalupe O. Hanke, Reg. No. 32,989

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of

Inventor: Rommel C. Lumauig

Serial No. 09/747,817

Filed: December 22, 2000

For: **CATHETER AND METHOD FOR  
MAKING THE SAME**

Examiner: B. Pellegrino

Group Art Unit: 3738

Client ID/Matter No. ACSC 60133 (2109P)

April 24, 2003

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure and 37 CFR §1.97(c)(2), enclosed is Form PTO/SB/08A, listing a reference not previously submitted which may be material to patentability of the invention, together with a copy of the reference listed therein.

The Examiner is respectfully requested to consider and cite the enclosed reference.

The Information Disclosure Statement is being submitted under 37 CFR §1.97 (c)(2), and therefore the fee \$180.00, as set forth in CFR §1.17(p), is enclosed.

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Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:

  
\_\_\_\_\_  
Gunther O. Hanke  
Registration No. 32,989

GOH:PHM:psm

Enclosures: Form PTO/SB/08A w/copy of one (1) reference

Howard Hughes Center  
6060 Center Drive, Tenth Floor  
Los Angeles, CA 90045  
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PTO/SB/21 (08-00)

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3738  
CJ

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

19

Application	09/747,817
Filing Date	12/22/2000
First Named	Rommel C. Lumaug
Group Art Unit	3738
Examiner Name	B. Pellegrino
Total Number of Pages in This Submission	19
Attorney Docket Number	ACSC 60133 (2109P)

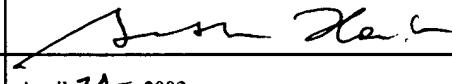
## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>1. Form PTO/SB/08A W/Copy of One (1) Reference</b>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<b>2. POSTCARD</b>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

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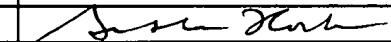
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP Gunther O. Hanke, Esq., Reg. No. 32,989
Signature	
Date	April 24, 2003

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4/24/2003

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Signature		Date	April 24, 2003

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		
<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\\$)</b>	<b>\$180.00</b>

**Complete if Known**

Application Number	09/747,817
Filing Date	12/22/2000
First Named Inventor	Rommel C. Lumauig
Examiner Name	B. Pellegrino
Group Art Unit	3738
Attorney Docket No.	ACSC 60133 (2109P)

**METHOD OF PAYMENT (check all that apply)**

Check  Credit card  Money Order  Other  None

 Deposit Account:Deposit Account Number **06-2425**Deposit Account Name **FULWIDER PATTON**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\\$)</b>	<b>\$0.00</b>		

**2. EXTRA CLAIM FEES FOR UTILITY AND**

	Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="checkbox"/> -20** = <b>0</b>	<input type="checkbox"/> X <b>0</b> = <b>0.00</b>	
Independent Claims	<input type="checkbox"/> -3** = <b>0</b>	<input type="checkbox"/> X <b>0</b> = <b>0.00</b>	
Multiple Dependent			

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\\$)</b>	<b>\$0.00</b>	

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)****(\\$)** **\$180.00****SUBMITTED BY**

Name (Print/Type)	Gunther O. Hanke	Registration No. (Attorney/Agent)	32,989	Telephone	(310) 824-5555
Signature	<i>Gunther O. Hanke</i>			Date	April 24, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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